

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5197811
<b>Application Number:</b>	10042371
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6761
<b>Title of Invention:</b>	Systems and methods for creating and trading dynamic securities
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
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<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	
<b>Receipt Date:</b>	22-APR-2009
<b>Filing Date:</b>	27-DEC-2001
<b>Time Stamp:</b>	14:22:39
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$540

RAM confirmation Number	464
Deposit Account	503938
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Notice of Appeal Filed	01-1046_090422_Appeal_Notice.pdf	21185 78e0724e461b8f952d3c7b43c31a85e703b4e6d5	no	1

### Warnings:

### Information:

2	Pre-Brief Conference request	01_1046_090422_RQ_PreAppeal_Brief_Conference.pdf	45253 9554488b55d21578964221893ce0ebc628d3	no	5
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### Warnings:

### Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	29675 e5fb3c5d8af025a848bac34695484506c4b58a9f	no	2
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### Warnings:

### Information:

Total Files Size (in bytes):			96113
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission is being filed and the international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.